

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.

| | | |
|---|---|--|
| TRANSMITTAL LETTER TO THE UNITED STATES DESIGNATED/ELECTED OFFICE (DO/EO/US) CONCERNING A SUBMISSION UNDER 35 U.S.C. 371 | | ATTORNEY'S DOCKET NUMBER 5077-000226/NP |
| INTERNATIONAL APPLICATION NO. PCT/JP2004/004804 | INTERNATIONAL FILING DATE 01 April 2004 (1.04.2004) | U.S. APPLICATION NO. (If known, see 37 CFR 1.5) 10/509 462 |
| TITLE OF INVENTION IMAGE PROCESSING METHOD, IMAGE PROCESSING APPARATUS, IMAGE CAPTURING APPARATUS, IMAGE OUTPUT APPARATUS, AND IRIS AUTHENTICATION APPARATUS | | |
| APPLICANT(S) FOR DO/EO/US KONDO, Kenji, et al. | | |
| Applicant herewith submits to the United States Designated/Elected Office (DO/EO/US) the following items and other information: | | |
| <ol style="list-style-type: none"> <input checked="" type="checkbox"/> This is a FIRST submission of items concerning a submission under 35 U.S.C. 371. <input type="checkbox"/> This is a SECOND or SUBSEQUENT submission of items concerning a submission under 35 U.S.C. 371. <input checked="" type="checkbox"/> This is an express request to begin national examination procedures (35 U.S.C. 371(f)). The submission must include items (5), (6), (9) and (21) indicated below. <input checked="" type="checkbox"/> The US has been elected (Article 31). <input checked="" type="checkbox"/> A copy of the International Application as filed (35 U.S.C. 371(c)(2)) <ol style="list-style-type: none"> <input type="checkbox"/> is attached hereto (required only if not communicated by the International Bureau). <input checked="" type="checkbox"/> has been communicated by the International Bureau. <input type="checkbox"/> is not required, as the application was filed in the United States Receiving Office (RO/US). <input checked="" type="checkbox"/> An English language translation of the International Application as filed (35 U.S.C. 371(c)(2)) <ol style="list-style-type: none"> <input checked="" type="checkbox"/> is attached hereto. <input type="checkbox"/> has been previously submitted under 35 U.S.C. 154(d)(4). <input checked="" type="checkbox"/> Amendments to the claims of the International Application under PCT Article 19 (35 U.S.C. 371(c)(3)) <ol style="list-style-type: none"> <input type="checkbox"/> are attached hereto (required only if not communicated by the International Bureau). <input type="checkbox"/> have been communicated by the International Bureau. <input type="checkbox"/> have not been made; however, the time limit for making such amendments has NOT expired. <input checked="" type="checkbox"/> have not been made and will not be made. <input type="checkbox"/> An English language translation of the amendments to the claims under PCT Article 19 (35 U.S.C. 371(c)(3)). <input checked="" type="checkbox"/> An oath or declaration of the inventor(s) (35 U.S.C. 371(c)(4)). <input type="checkbox"/> An English language translation of the annexes of the International Preliminary Examination Report under PCT Article 36 (35 U.S.C. 371(c)(5)). | | |
| Items 11 to 20 below concern document(s) or information included: | | |
| <ol style="list-style-type: none"> <input checked="" type="checkbox"/> An Information Disclosure Statement under 37 CFR 1.97 and 1.98. <input checked="" type="checkbox"/> An assignment document for recording. A separate cover sheet in compliance with 37 CFR 3.28 and 3.31 is included. <input type="checkbox"/> A preliminary amendment. <input checked="" type="checkbox"/> An Application Data Sheet under 37 CFR 1.76. <input type="checkbox"/> A substitute specification. <input type="checkbox"/> A power of attorney and/or change of address letter. <input type="checkbox"/> A computer-readable form of the sequence listing in accordance with PCT Rule 13ter.2 and 37 CFR 1.821- 1.825. <input type="checkbox"/> A second copy of the published International Application under 35 U.S.C. 154(d)(4). <input type="checkbox"/> A second copy of the English language translation of the International Application under 35 U.S.C. 154(d)(4). <input checked="" type="checkbox"/> Other items or information: See Attachment 1 | | |

This collection of information is required by 37 CFR 1.414 and 1.491-1.492. The information is required to obtain or retain a benefit by the public, which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.11 and 1.14. This collection is estimated to take 15 minutes to complete, including gathering information, preparing, and submitting the completed form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Mail Stop PCT, Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.

| | | | |
|--|--------------|--|--|
| U.S. APPLICATION NO. (if known, see 37 CFR 1.5) 10/509462 | | INTERNATIONAL APPLICATION NO. PCT/JP2004/004804 | ATTORNEY'S DOCKET NUMBER 5077-000226/NP |
| 21. <input checked="" type="checkbox"/> The following fees are submitted: | | CALCULATIONS PTO USE ONLY | |
| BASIC NATIONAL FEE (CFR 1.492(a)(1)-(5)): | | | |
| Neither international preliminary examination fee (37 CFR 1.482) nor international search fee (37 CFR 1.445(a)(2)) paid to USPTO and International Search Report not prepared by the EPO or JPO..... | | \$1080.00 | |
| International preliminary examination fee (37 CFR 1.482) not paid to USPTO but International Search Report prepared by the EPO or JPO | | \$920.00 | |
| International preliminary examination fee (37 CFR 1.482) not paid to USPTO but international search fee (37 CFR 1.445(a)(2)) paid to USPTO | | \$770.00 | |
| International preliminary examination fee (37 CFR 1.482) paid to USPTO but all claims did not satisfy provisions of PCT Article 33(1)-(4) | | \$730.00 | |
| International preliminary examination fee (37 CFR 1.482) paid to USPTO and all claims satisfied provisions of PCT Article 33(1)-(4) | | \$100.00 | |
| ENTER APPROPRIATE BASIC FEE AMOUNT = | | \$ 920.00 | |
| Surcharge of \$130.00 for furnishing the oath or declaration later than 30 months from the earliest claimed priority date (37 CFR 1.492(e)). | | \$ 0.00 | |
| CLAIMS | NUMBER FILED | NUMBER EXTRA | RATE |
| Total claims | 12 - 20 = | 0 | X \$18.00 |
| Independent claims | 3 - 3 = | 0 | X \$86.00 |
| MULTIPLE DEPENDENT CLAIM(S) (if applicable) | | + \$290.00 | |
| TOTAL OF ABOVE CALCULATIONS = | | \$ 920.00 | |
| <input type="checkbox"/> Applicant claims small entity status. See 37 CFR 1.27. The fees indicated above are reduced by ½. | | \$ 0.00 | |
| SUBTOTAL = | | \$ 920.00 | |
| Processing fee of \$130.00 for furnishing the English translation later than 30 months from the earliest claimed priority date (37 CFR 1.492(f)). | | + \$ 0.00 | |
| TOTAL NATIONAL FEE = | | \$ 920.00 | |
| Fee for recording the enclosed assignment (37 CFR 1.21(h)). The assignment must be accompanied by an appropriate cover sheet (37 CFR 3.28, 3.31). \$40.00 per property | | + \$ 40.00 | |
| TOTAL FEES ENCLOSED = | | \$ 960.00 | |
| | | Amount to be refunded: | \$ |
| | | Amount to be charged: | \$ |
| a. <input checked="" type="checkbox"/> A check in the amount of \$ <u>960.00</u> to cover the above fees is enclosed. b. <input type="checkbox"/> Please charge my Deposit Account No. _____ in the amount of \$ _____ to cover the above fees. A duplicate copy of this sheet is enclosed. c. <input checked="" type="checkbox"/> The Commissioner is hereby authorized to charge any additional fees which may be required, or credit any overpayment to Deposit Account No. <u>08-0750</u> . A duplicate copy of this sheet is enclosed. d. <input type="checkbox"/> Fees are to be charged to a credit card. WARNING: Information on this form may become public. Credit card information should not be included on this form. Provide credit card information and authorization on PTO-2038. | | | |
| NOTE: Where an appropriate time limit under 37 CFR 1.495 has not been met, a petition to revive (37 CFR 1.137(a) or (b)) must be filed and granted to restore the International Application to pending status. | | | |
| SEND ALL CORRESPONDENCE TO: Gregory A. STOBBS Harness, Dickey & Pierce, PLC P.O. Box 828 Bloomfield Hills, MI 48303 USA | | | |
|  SIGNATURE Gregory A. STOBBS NAME <u>28,764</u> REGISTRATION NUMBER | | | |

10/509 462

! DT03 Rec'd PCT/PTO 28 SEP 2004

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Express Mail No.: EV 533 144 261 US
Date of Deposit: 28 September 2004

Application No.: Not yet assigned – 371 of PCT/JP2004/004804

International

Filing Date: 01 April 2004

Applicant: Kenji KONDO, et al.

Title: IMAGE PROCESSING METHOD, IMAGE
PROCESSING APPARATUS, IMAGE CAPTURING
APPARATUS, IMAGE OUTPUT APPARATUS, AND
IRIS AUTHENTICATION APPARATUS

Attorney Docket: 5077-000226/NP

Mail Stop PCT
Commissioner for Patents
P. O. Box 1450
Alexandria, Virginia 22313-1450

EXPRESS MAIL TRANSMITTAL

The following papers are being deposited with the United States Postal Service Express Mail Post Office To Addressee on 28 September 2004 and addressed for receipt by the United States Patent and Trademark Office:

1. Express Mail Transmittal (Label No. EV 533 144 261 US);
2. Check in the amount of \$960.00;
3. Transmittal Letter to the US Designated/Elected Office Concerning a Filing Under 35 USC 371 (3 pages, in duplicate);
4. Application Data Sheet (3 pages);
5. English Translation of International Application (28 pages);
6. Formal Drawings showing Figs. 1-15 (11 pages);
7. Signed Declaration and Power of Attorney (3 pages);
8. Assignment Recordation Cover Sheet and Assignment (3 pages);
9. Information Disclosure Statement; IDS Form 1449; Copy of International Search Report; Copies of 5 foreign references not on Int'l Search Report
10. Copy of PCT/IB/301 for PCT/JP2004/004804;
11. Copy of PCT/IB/304 for PCT/JP2004/004804; and
12. Return Postcard.

HARNESS, DICKEY & PIERCE, P.L.C.
P.O. Box 828
Bloomfield Hills, Michigan 48303
(248) 641-1600

Valeri L. Mangindin
Valeri L. Mangindin

10/509 462
DT15 Rec'd PCT/PTO 28 SEP 2004

Addendum

Attachment 1

Return Postcard; Express Mail Transmittal (Certificate No. EV 533 144 261 US; Copy of Int'l Search Report for PCT/JP2004/004804; Copies of 5 foreign references not cited on Int'l Search Report; 11 Sheets of Formal Drawings (showing Figs. 1-15)